

**APPENDIX A TO SUBPART I OF PART 103 – CERTIFICATION REGARDING
CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS**

[OMB Control Number 1505-0184]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, Société Générale (“**Foreign Bank**”) hereby certifies as follows:

* A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

Foreign Bank maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at the following street address: 17 cours Valmy 92987 Paris-La Défense, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in France (insert country), where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by Commission Bancaire, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
- The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does **not** have a physical presence in a country and is **not** a

regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.



E. Ownership Information: Check box 1 or 2 below, if applicable



1. Form FR Y-7 is on file. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 3 of Form FR Y-7.



2. Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C.78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete item 3 below, **if applicable**.



3. Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification,

(i) **person** means any individual, bank, corporation, partnership, limited liability

(ii) company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and

(iii) members of the same family* shall be considered one **person**.

Name	Address

F. Process Agent: complete the following.

The following individual or entity: Governor TIPTON is a resident of the United States at the following street address: Société Générale New York Branch, 1221 avenue of the Americas, New York, NY 10020, **and** is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

* The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Chris DAVIES , certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

Société Générale

Chris DAVIES

Head of Compliance

Executed on this .. of September, 2012.

Received and reviewed by:

Name: _____

Title: _____

For: _____

Date: 20.6.13

SOCIETE GENERALE

SEGL/CFT

Tours Société Générale

17, cours Valmy

92987 PARIS LA DEFENSE CEDEX

APPENDIX B TO SUBPART I OF PART 103 –

**RECERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR
FOREIGN**

BANKS

[OMB CONTROL NUMBER 1505-0184]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

The undersigned financial institution, Société Générale (“Foreign Bank”), hereby certifies as follows:

1. Foreign Bank has executed a Certification dated 7th November, 2002 (the “Certification”) relating to one or more Correspondent Accounts maintained by one or more Covered Financial Institutions for Foreign Bank. Terms defined in the Certification have the same meaning in this Recertification.

2. The information contained in the Certification:

remains true and correct.



is revised by the information provided with this Recertification (attach a statement describing the information that is no longer correct and stating the correct information).



Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Recertification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Recertification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments’ and agencies’ governmental functions.

I, Chris DAVIES certify that I have read and understand this Recertification, that the statements made in this Recertification are complete and correct, and that I am authorized to execute this Recertification on behalf of Société Générale .

Société Générale


[Signature]

Head of Compliance

Executed on this 20 day of June 2013.

SOCIETE GENERALE
SEGL/CFT
Tours Société Générale
17, cours Valmy
92987 PARIS LA DEFENSE CEDEX

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

SOCIETE GENERALE foreign branches

Country	City	Address	Regulator
AUSTRALIA	SYDNEY	Level 21 400 George Street Sydney 2000 AUSTRALIA	Australia Prudential Regulatory Authority
AUSTRIA	VIENNA	Prinz Eugen-Strasse 32 A -1040 VIENNA AUSTRIA	Commission Bancaire (France) Finanzmarkt Auf Sicht
BELGIUM	BRUXELLES	TOUR BASTION 5, Place du Champ de Mars 1050 BRUXELLES BELGIUM	Commission Bancaire (France) Commission Bancaire et Financière
CANADA	MONTREAL	1501 McGill College Suite 1800 H3A 3M8 - Montréal QUEBEC CANADA	Office of the Superintendent of Financial Institutions
CHINA	SHANGHAI	Unit 4101, 41F, Jin Mao Tower, 88 Century Boulevard - Pudong New Area SHANGHAI, 200121 CHINA	Peoples Bank of China
GERMANY	FRANKFURT	Neue Mainzer Strasse 46-50, 60311 FRANKFURT AM MAIN GERMANY	Commission Bancaire (France) Bundesaufsichtsamt für den Wertpapierhandel (BAWe) Bundesaufsichtsamt für des Kreditwesen(BAK)
GREECE	ATHENS	109-111 messogion, avenue PC 11510 ATHENS GRECE	Commission Bancaire (France) Bank of Greece
HONG KONG	HONG KONG	EDINBURGH TOWER, FLOOR 44 - 15 QUEEN'S ROAD CENTRAL - HONG KONG	Hong Kong Monetary Authority
INDIA	MUMBAI	Maker Chambers IV, (7 th & 13 th Floor) Jammalal Bajaj Marg, Nariman Point, MUMBAI 400 021 INDIA	Reserve Bank of India

IRELAND	DUBLIN	6, Exchange Place, IFSC House, 3 rd floor, IFSC DUBLIN 1, IRELAND	Commission Bancaire (France) Central Bank of Ireland
ITALY	MILANO	Via Olona,2 20123 MILANO ITALY	Commission Bancaire (France) Bank of Italy
JAPAN	TOKYO	Ark Mori Bldg., 12-32 Akasaka 1 Chome Minato-ku TOKYO 107-6014 JAPAN	Ministry of Finance (MOF) -Financial Services Agency (FSA)

Country	City	Address	Regulator
MALAISIE	KUALA LUMPUR	Suite 21-01 A, 21st floor, Menara Tan & Tan 207, Jalan Tun Razak, 50400 KUALA LUMPUR MALAYSIA	Bank Negara Malaysia
MONACO	MONACO	16 Avenue de la Costa Palais de la Scala -BP 249 MC 98007 MONACO CEDEX	Commission Bancaire
NETHERLAND	AMSTERDAM	Amstelplein 1 « Rembrandt Tower » 1096 HA AMSTERDAM NETHERLAND	Commission Bancaire (France) Netherlands Authority for the Financial Markets (NAFM)
PHILIPPINES	MANILA	16/F Unit 16-B Citibank Tower 8741 Paseo de Roxas 1200 MAKATI CITY, METRO MANILA PHILIPPINES	Central Bank of Philippines
POLAND	WARSZAWA	Ul. Marszałkowska 111 SASKI POINT 00-102 WARSZAWA POLAND	Banking Supervisory Committee (Komisja Nadzoru Bankowego)
SINGAPORE	SINGAPORE	80 Robinson Road #25-00, 80 Robinson Road (S)068898 6326 7122	Monetary Authority of Singapor
SOUTH AFRICA	JOHANNESBURG	2ND FLOOR 160 Jan Smuts Avenue Rosebank 2096 - PO Box 6872 JOHANNESBURG 2000 SOUTH AFRICA	South African Reserve Bank (SARB)
SOUTH KOREA	SEOUL	10/F Sean Building 116,Shinmunro, 1-Ka, Chongro-Ku, SEOUL 110-700 SOUTH KOREA	Financial Supervisory Service
SPAIN	MADRID	Torre Picasso Plaza Picasso Pablo Ruiz Picasso I 28020 MADRID SPAIN	Commission Bancaire (France) Banco de Espana
SWITZERLAND	ZURICH	Talacker 50, Case Postale 1928, CH-8021, ZURICH SWITZERLAND	Commission Fédérale des Banques
TAIWAN	TAIPEI	7th Floor No.109, Min Sheng East Road Section 3,Taipei 105, TAIWAN	Central Bank of China
THAILAND	BANGKOK	11th Floor CRC Tower, All Seasons Place 87/2 Wireless Road, Lumpini Phatumwan 10330 Bangkok THAILAND	Bank of Thailand
TURKEY	ISTANBUL	Nispetiye Cad.Akmerkez E-3 Blok Kat 10 34337 ETILER/ISTANBUL TURKEY	Banking regulation and supervision board
UNITED KINGDOM	LONDON	SG House 41Tower Hill LONDON EC3N UK	Commission Bancaire (France) Financial Services Authority (FSA)
UNITED STATES	NEW YORK	1221 Avenue of the Americas NEW YORK,NY 10020 USA	Federal Reserve
UNITED STATES	CHICAGO	190 S. Lasalle Street Suite 3850 Chicago, IL 60603 USA	Federal Reserve
UNITED STATES	DALLAS	2911 Turtle Greek Boulevard Dallas, TX 75219 USA	Federal Reserve
CAYMAN ISLANDS	GRAND CAYMAN	Care of SG New York 1221 Avenue of the Americas NEW YORK,NY 10020 USA	Cayman Islands Monetary Authority (CIMA)